

## Review

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# Epidemiology of Medical Errors and Incidents in Emergency Medicine

**Yu.E. Voskanyan** ✉

Department of Health Care Organization and Public Health  
Russian Medical Academy of Continuous Professional Education  
2/1, bldg. 1, Barrikadnaya St., Moscow, 125993, Russian Federation

✉ **Contacts:** Yury E. Voskanyan, Doctor of Medical Sciences, Professor, Department of Health Care Organization and Public Health, Russian Medical Academy of Continuous Professional Education. Email: [voskanyanue\\_mmch@mail.ru](mailto:voskanyanue_mmch@mail.ru)

**RELEVANCE** The issues of patient care and quality management have acquired particular relevance in modern healthcare. Improvement in the clinical performance of medical technologies has led to a significant reduction in deaths and complications associated with the disease and side effects of interventions. As a result, the share of additional harm related to the process of providing medical services has become more noticeable. Accurate data regarding the type, frequency and severity of active threats and incidents they cause are needed to reduce the likelihood and severity of additional harm. In this respect, emergency medical care is the subject of special attention and is characterized by the greatest difficulty in terms of obtaining valid and relevant information about deviations associated with health worker performance, equipment operation and patient behavior.

**THE AIM OF THE STUDY** was to explore the main epidemiological characteristics of medical errors and incidents associated with the provision of emergency medical care.

**MATERIAL AND METHODS** We present a literature review followed by an analytical study of the epidemiology of incidents and active threats (including medical errors) that precede those incidents in various areas of emergency medical care. By an incident, the authors understood an event with a patient that was more related to the process of providing medical care than to the course of the disease or comorbid conditions which led or could lead to causing additional harm. Active threats included events that subsequently became the direct cause of the incident (medical errors and malpractice, mistakes and deviations in patient behavior, emergency situations in the physical environment). By the "mortality from adverse events", the authors understood the proportion of deaths from adverse events among all hospitalized patients. By the concept of "lethality associated with adverse events", the authors denoted the proportion of deaths from adverse events among all the patients affected by adverse events. The search for information was carried out for the period of 1995–2021 using the following medical databases: MEDLINE; Cochrane Collaboration; EMBASE; SCOPUS; ISI Web of Science. For analysis, we used prospective and retrospective observational studies of high methodological quality, meta-analyses and systematic reviews. For the statistical evaluation of frequency characteristics, indicators of incidence, prevalence, and incidence density were used. The calculation of generalized frequency indicators for large samples was carried out with a 95% confidence interval.

**RESULTS** The epidemiology of medical errors and incidents depends on the area in which emergency care is provided. For prehospital emergency medical care, there are 12.45 medical errors and 4.50 incidents with consequences for every 100 visits. In emergency departments, one in fourteen patients suffers additional harm which in 10.14% of cases has severe consequences, and in 3.18% of cases leads to unexpected death. In intensive care units, incidents related to the provision of medical care are recorded in every third patient in the amount of 1.55 per 1 patient. Of these, 58.67% of incidents are accompanied by harm, but the fatality associated with the incidents is only 0.77%. The prevalence of patients affected by incidents during the provision of anesthesia for children is almost 2 times higher than for adults (4.79% vs. 2.03%). At the same time, mortality due to anesthesia-related incidents in children is 11 times lower than in adults (0.27% versus 3.09%). The author draws attention to a number of factors contributing to the development of incidents during the provision of emergency medical care. These include environmental complexity, suboptimal configuration of the workspace, technological interface complexity, the effects of acute stress on performers, and organizational vulnerabilities. A special role was assigned to environmental complexity which was studied in detail both in terms of the complexity of the tasks being solved, and in connection with obstacles to solving problems. It was shown that the intensity of the influence of various components of environmental complexity is not the same in different departments providing emergency care. Particular attention was paid to the fact that organizational vulnerabilities reduce the effectiveness of protective mechanisms during the interaction of the human factor with a complex environment.

**CONCLUSION** The study showed that the provision of emergency medical care is associated with moderately high risks of incidents, including severe and critical consequences for patients. The main factor contributing to the development of incidents is environmental complexity which becomes much harder to counter under the influence of organizational vulnerabilities. Identification and registration of errors and incidents in units providing medical care is difficult due to the short time of contact with patients, the high speed of situation update, and the constant impact of chronic and acute stressors on staff. In this connection, the optimization and improvement of the efficiency of the system for recording errors and incidents in departments providing emergency medical care remains an area for improvement.

**Keywords:** health care safety, emergency medical care, adverse events, incidents, medical errors

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## Affiliations

Yury E. Voskanyan	Doctor of Medical Sciences, Professor, Department of Health Care Organization and Public Health, Russian Medical Academy of Continuous Professional Education; <a href="https://orcid.org/0000-0003-2962-2818">https://orcid.org/0000-0003-2962-2818</a> , <a href="mailto:voskanyanue_mmch@mail.ru">voskanyanue_mmch@mail.ru</a>
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CI - Confidence interval

IC - Intensive Care

EMC - Emergency medical care  
 AE - Adverse event  
 EMS - Emergency medical services

## INTRODUCTION

Mistakes, incidents, resulting additional harm (an adverse event) and related issues of patient safety management have lately become the central problem of modern healthcare. Publications of recent years clearly demonstrate the fact that additional harm is an inevitable companion and downside of the process of providing medical care. A meta-analysis of modern publications, including a sample of almost one hundred and ninety thousand patients, showed that every fifth patient encounters a medical error, and every eighth hospitalized suffers additional harm associated with the provision of medical care. Besides, for one out of eighty inpatients, incidents are accompanied by severe harm and quite often lead to permanent disability. One out of 160 hospitalized patients dies from the complications described above. Moreover, deaths caused by adverse events are the cause of every fourth death in hospital and every tenth death in the population of developed countries [1-5].

Emergency medical care, apparently, must have a higher probability of errors associated with the human factor and the severity of the resulting incidents. This is due to the complexity of the environment in which emergency medical care is provided. Such an environment in modern literature is called a high-stakes environment. Under the environment in our article, we will understand the space in which medical care is provided (temporary or permanent workplace) and its technological interface. In this technological interface, the staff, the patient, objects and tools, tasks and technologies for their solving interact. Environmental complexity is always the result of a subjective assessment which depends on the competencies, experience of a particular individual and the requirements of the situation. In modern literature, environmental complexity is considered in two aspects: from the point of view of the complexity of the tasks being solved and taking into account the barriers to problem solving. In terms of the complexity of the tasks to be solved, the high-stakes environment is characterized by:

- instability of the object of transformations (it implies a high rate of changes in the patient's state);
- multitasking;
- monotony (associated with the uniformity of the operations performed to solve one problem);
- heavy technological load (due to a large number of complex technologies used to solve many problems);
- interdependence of tasks;
- high velocity, irreversibility and multiple consequences of a decision;
- delayed effect of many technologies used (many effects become apparent only after a certain time and quite often in another unit);
- high cost of error at all stages of human behavior, when minimal deviations lead to very serious consequences.

In terms of barriers to problem solving, a high-stakes environment has the following characteristics:

- complexity of goals (contains many competing goals, often changing in the course of treatment);
- instability of the environment itself (associated with a high rate of change in key variables: the number and types of patients, the number and competence of team personnel, external conditions, technical factors, etc.);
- uncertainty (associated with the initial lack of information about the object of transformation, the unpredictability of changes in the environment, transient ignorance of the situation);
- non-transparency (implies the ambiguity of most of the information received);
- frequent breaks (associated with the need to constantly switch between tasks and patients);
- migrating stress (characterized by frequent alternation of periods of intense stress with periods of routine activities);
- novelty of the situation (associated with unique situational variables);
- lack of time for decision-making, which reduces the opportunity for thorough information gathering, problem analysis, hypothesis testing and risk assessment;
- information overload (caused by redundancy of information, multiple sources of information and distractions);

– interactive hypercomplexity (associated with the interaction of a large number of performers with different competencies and different qualifications, the need to develop a single mental model, the high interdependence of all components of the technological interface).

Environmental complexity is exacerbated by the scarcity and sub-optimal configuration of the workspace, the complex human-machine interface of the medical devices used, the effects of acute stress on all health workers providing emergency medical care, and multiple organizational vulnerabilities. The latter reduce the cognitive and physical protective resources of the human factor at all levels. Acute stress is always associated with an imbalance between the demands of the situation (saving the patient and medical duty), a specialist's own competencies, available time and resources, as well as the unpredictability and inability to control the workload. Frequent consequences of acute stress are the dominant negative emotions in response to the subjective perception of a higher risk of no effect from the interventions performed and the peril of causing additional harm [6-9].

Thus, conducting research on the epidemiology of adverse events in the provision of emergency medical care, their systemic root causes, the search for effective solutions in order to manage the magnitude of the risk of these events is of particular scientific and practical interest.

### RESULTS OF THE STUDY

Analysis of the frequency and severity of errors, incidents and adverse events was carried out for the following areas: prehospital emergency medical care, emergency departments, intensive care units, anesthesiology service.

Prehospital emergency medical care implements a bulk of emergency and urgent measures, as well as assistance in emergency situations. It can be anywhere: on the side of the road, at home, in public and other places. Ambulance teams work in conditions of extremely high initial uncertainty, including those associated with unpredictable and uncontrollable threats from the patient. At the same time, these activities are carried out in conditions of critical time pressure, cramped workspace, and rather limited resource opportunities. Very often, an ambulance team is formed from representatives of various professions (medical workers, firefighters, policemen, etc.), which causes great difficulties in managing the process, in coordinating the activities of an interdisciplinary team and synchronizing mental models. Ambulance workers themselves are at risk of various injuries associated with patient transportation, shift and overtime work, frequent team changes, unfavorable intentions on the part of the patient and his family members, and many other factors [6-8,10,11]. All of the above is a serious risk factor for errors and incidents, most of which are associated with defects in communication and coordination of team activities, patient behavior, equipment failures and environmental factors [6,8,12-15].

The epidemiology of adverse events and errors in the field of emergency medical care is presented in the literature in a very limited and fragmented form. This is due to the fact that the time allotted for the provision of emergency medical care is short and most of the adverse events associated with errors and incidents in the work of ambulance teams occur in a delayed period of time and are diagnosed in emergency departments, and most often in departments of multidisciplinary hospitals [6]. The fixed error rate according to retrospective analysis using global instrumental triggers was 12.45, and the cumulative rate of incidents with consequences was 4.50 for every 100 ambulance visits (Table 1) [16-21].

Table 1

**Epidemiology of incidents and medical errors during the provision of prehospital emergency medical care**

Author	Number of patients/ambulance trips	Medical errors		Incidents with consequences	
				Absolute number	%
<i>R. Kothari et al.</i> , 1995 [16]	86 (diagnosis "stroke")	24	27.91	—	—
<i>H.R. Arntz et al.</i> , 1996 [17]	2 033	221	10.87	73	3.59
<i>C.L. Peery et al.</i> , 1999 [18]	201	55	27.36	—	—
<i>A. Flabouris</i> , 2001 [19]	(diagnosis "childhood trauma")	135	68.88	30	15.31
<i>G.M. Vilke et al.</i> , 2007 [20]	196 (diagnosis "spinal cord injury")	32	9.09	—	—
<i>M.A. Hagiwara et al.</i> , 2019 [21]	352	—	—	46	4.26
Кумулятивные частотные показатели	(drug therapy)	332	12.45 (11,25–13,76)*	149	4.50 (3,84–5,26)*

Note:\* — 95% confidence interval

Emergency departments are formed as part of large multidisciplinary hospitals and are large receiving and diagnostic terminals where patients are admitted as a result of self-referral, transportation by ambulance and other services. Within a short period of time (3-6 hours) in emergency departments, urgent diagnostic and therapeutic measures are carried out, and then approximately two-thirds of the patients who applied are transferred for observation and (or) treatment to the outpatient clinic unit, and the remaining part is hospitalized in the specialized departments of the round-the-clock hospital. Emergency departments have their own emergency operating rooms, an intensive care unit, emergency services for laboratory and instrumental diagnostics. Depending on the structural characteristics of healthcare in different countries, emergency care is provided either by permanent multifunctional emergency care teams, or teams are formed as needed from narrow specialists of various departments. The main features of emergency department performance are the high uncertainty and opacity of the situation, the multifactorial and multiorgan nature of pathological processes in a large number of incoming patients, the lack of time for decision-making, multitasking and multiple goals, frequent breaks, the impossibility of direct monitoring of delayed effects of medical interventions. Constant overload of diagnostic and treatment units by patients requires proper sorting of patients and their distribution in waiting areas, consistent provision of medical care, taking into account initial severity of injury and disease. The described factors cause the occurrence of incident errors, which likelihood is enhanced by organizational vulnerabilities and a lack of competence among medical personnel [8,22,23,24].

In the overall structure of adverse events, the share of additional harm caused in emergency departments is 5%, and in the structure of life-threatening complications - 17%. One in five adverse events resulting from errors in the emergency department is an infectious complication [25,26,27,28]. On average, there are 1.17 adverse events per 1 patient in an emergency department. The incidence of adverse events is not influenced either by the gender of patients or by the size of the clinic where emergency medical care is provided [29].

Information about adverse events that occur in emergency departments is given in Table 2. The cumulative incidence of adverse events is 7.14%, where the share of cases of severe harm is 10.14%, the share of deaths is 3.18%. Most of the consequences of incidents related to interventions in emergency departments are diagnosed either in hospital departments (where about a third of patients are transferred) or as a result of repeated visits. This is due to the fact that the time spent by the patient in the emergency department usually does not exceed 6 hours. Therefore, personalized accounting of these events is a rather difficult task. There are great risks that most of the incidents and the resulting adverse events will be attributed not to the work of emergency departments, but to the activities of inpatient departments where these patients are subsequently transferred. Apparently, this can explain the fact that the actual statistics of incidents in emergency departments are somewhat underestimated in comparison with the average epidemiological hospital indicators [5,26,27,29,30-36].

Table 2

**Epidemiology of incidents and medical errors during the provision of prehospital emergency medical care**

Author	Number of patients	Patients with adverse events		Share of patients with adverse events who were seriously harmed		Mortality from adverse events	
		Absolute number	%	Absolute number	%	Absolute number	%
<i>A.M. Wolf et al.</i> , 2002 [26]	–	250	–	12	4.80	3	1.20
<i>A.J. Forster et al.</i> , 2007 [30]	399	24	6.01	–	–	–	–
<i>M. Soop et al.</i> , 2009 [31]	1967	241	12.25	26	10.79	10	4.15
<i>L.A. Calder et al.</i> , 2010 [32]	503	43	8.54	2	4.65	1	2.32
<i>S. Tomas et al.</i> , 2010 [33]	3642	277	7.61	14	5.05	7	2.52
<i>N. Rafter et al.</i> , 2017 [29]	1574	211	13.41	35	16.59	14	6.63
<i>J. Hendrie et al.</i> , 2017 [34]	2167	89	4.11	17	19.10	2	2.25
<i>P. Halfon et al.</i> , 2017 [35]	1007	127	12.61	–	–	–	–
<i>N. Grossman et al.</i> , 2019 [27]	–	240	–	31	12.9	6	2.50
<i>M. Alsabri</i> , 2020 [36]	6519	258	3.96	–	–	–	–
Cumulative incidence	17778	1760	7.14 (6.77–7.53)*	137	10.14 (8.64–11.86)*	43	3.18 (2.37–4.26)*

Note: \* – 95% confidence interval

Half of incidents in emergency departments are related to the performed intervention, and one in four of them are related to drug therapy (Table 3) [31,33,35].

Table 3

**Medical interventions associated with incidents in emergency departments**

Intervention	<i>M. Soop et al., 2009 [31]</i>		<i>S. Tomas et al., 2010 [33]</i>		<i>P. Halfon et al., 2017 [35]</i>	
	Absolute number	%	Absolute number	%	Absolute number	%
<b>Diagnostic procedures</b>	<b>27</b>	<b>11,20</b>	<b>99</b>	<b>16,13</b>	<b>6</b>	<b>4,11</b>
<i>Misdiagnosis</i>	2	0.82				
<i>Late diagnosis</i>	17	7.05				
<i>Incomplete diagnosis</i>	1	0.41				
<i>Incorrectly performed diagnostic intervention</i>	7	2.90				
<b>Drug therapy</b>	<b>73</b>	<b>30.29</b>	<b>148</b>	<b>24.10</b>	<b>45</b>	<b>30,82</b>
<i>Incorrect drug therapy</i>	6	2.49				
<i>Delayed drug therapy</i>	17	7.05				
<i>Incorrect drug dose</i>	16	6.63				
<i>Adverse drug reactions</i>	34	14.12				
<b>Surgeries and any invasive procedures</b>	<b>119</b>	<b>49.38</b>	<b>313</b>	<b>50.98</b>	<b>68</b>	<b>46.58</b>
<i>Unnecessary intervention</i>	6	2.49				
<i>Late intervention</i>	9	3.73				
<i>Incomplete intervention</i>	10	4.15				
<i>Incorrectly performed intervention</i>	94	39.00				
<b>Other interventions (therapeutic procedures related to patient care)</b>	<b>22</b>	<b>9.13</b>	<b>54</b>	<b>8,79</b>	<b>27</b>	<b>18,49</b>
<b>TOTAL</b>	<b>241</b>	<b>100.00</b>	<b>614</b>	<b>100.00</b>	<b>146</b>	<b>100.00</b>

Note: \* – the table shows the distribution of shares of the entire pool of adverse events depending on the type of intervention that was the main cause of the adverse event

Intensive care units are the concentration of the most critically ill patients. Some of them come to intensive care units from emergency departments, the other ones - from hospital departments in the event of sudden development of a critical condition. Intensive care units provide intensive specialized medical care using advanced monitoring of vital signs, various methods of physiological organ support and life support during acute organ system failure. Despite the localization of the intensive care unit in a certain geographical space, its activities often extend beyond this space into any unit and any area of the clinic. It should be noted that the rate of renewal of patients in intensive care units is significantly lower, and the period of their stay is longer than in emergency departments. On the one hand, this provides certain opportunities for a full-fledged examination and reduction of the uncertainty contribution to the treatment outcome. But on the other hand, there are a number of specific problems in intensive care units that practically negate the described advantage. Firstly, this is perhaps the highest rate of change in the patient's condition. Secondly, this is the highest multitasking and the need to achieve many hierarchically dependent goals. In no other department and no other service does the patient receive such an interventional and therapeutic load as in intensive care units - an average of 178 manipulations per day per patient. Thirdly, the concentration in the space of the resuscitation bed of a large number of devices, equipment, infusion lines, drains and catheters significantly limits access to the patient and the ability to assess changes in the patient's condition in the background control mode. In addition to the problems described above, the development of adverse events is facilitated by frequent team changes, round-the-clock work or night shifts, communication problems, including those associated with ambient noise. It should be noted that the cost of error and any other active threat in intensive care units is significantly higher than in emergency departments and in the work of the prehospital ambulance service. The

incidence of only infectious complications associated with mechanical ventilation, invasive devices, catheters and drains reaches 30% or more, even in clean rooms. The prevalence of patients in whose treatment medical errors were recorded by prospective studies is 30.55%, while the proportion of diagnostic errors exceeds 40%, and every fourth error occurs in the process of prescribing and distributing medicines. Approximately 3% of errors lead to harm, and patients are informed about errors only in 2.7% of cases [6,37–45].

Information about errors and incidents that occur in intensive care units is given in Table 4. As can be seen from the Table, the prevalence of patients with incidents in intensive care units is 31.82%. The incidence of incidents themselves is on average 49.39 per 100 patients, and the incidence density is 138.20 incidents per 1000 patient-days [46–54].

Table 4

**Epidemiology of incidents in intensive care units**

Author	Number of patients/patient-days	Incidents			Patients with incidents	
		Absolute number	Per 100 patients (95% CI)	Per 1000 patient-days	Absolute number	Prevalence % (95% CI)
<i>R.K. Resar et al.</i> , 2006 [46]	/8841	1450	–	164	–	–
<i>A. Forster et al.</i> , 2008 [47]	207	56	27.05	–	40	19.32
<i>A. Pagnamenta et al.</i> , 2012 [48]	6404/17 434	2047	31.96	117.41	1727	26.97
<i>P. Merino et al.</i> , 2012 [49]	1017	1424	140.02	–	591	58.11
<i>D.A. Garry et al.</i> , 2014 [50]	280	104	37.14	–	76	–
<i>K.E. Roque et al.</i> , 2016 [51]	355/ 3448	324	91.27	93.96	115	32.39
<i>F.J. Molina</i> , 2018 [52]	94	178	189.36	–	49	52.13
<i>G. Decormelle</i> , 2021 [53]	253	–	–	–	142	56.12
<i>G. Aikawa</i> , 2021 [54]	50/333	19	38.00	57.05	–	–
Cumulative incidence			<b>49.39</b> (48.32–50.46)* (4152/8407)	<b>138.20</b> (134.30–142.10)* (4154/30 056)	2740	<b>31.82</b> (30.84–32.82)*

Note:\* – 95% confidence interval

Despite the high incidence rates, mortality and lethality from incidents associated exclusively with the work of the intensive care unit is significantly lower than the average hospital ones - 0.27% and 0.77%, respectively (Table 5). This can be explained by the fact that the main contribution to the fatal outcome is made by incidents caused by the performance of surgical teams and problems emerging in inpatient departments [48,40,50,52].

Table 5

**Mortality and lethality associated with incidents in intensive care units**

Author	Number of patients	Number of patients with incidents	Lethality		Mortality	
			Absolute number	%	Absolute number	Prevalence % (95% CI)
<i>A. Pagnamenta et al.</i> , 2012 [48]	6404	1727	0	0	0	0
<i>P. Merino et al.</i> , 2012 [49]	1017	591	9	1.52	9	0.88
<i>D.A. Garry et al.</i> , 2014 [50]	280	76	11	14.47	11	3.92
<i>F.J. Molina</i> , 2018 [52]	94	49	1	2.04	1	1.06
Cumulative incidence	7795	2740	21	<b>0.77</b> (0.49–1.17)*	21	<b>0.27</b> (0.17–0.42)*

Note:\* – 95% confidence interval

Analyzing the severity of incidents, it should be noted that, on average, the share of incidents with consequences (causing harm to the patient) is 58.67%. At the same time, the proportion of fatal incidents is

small - 0.61%. For every healthcare-associated death in the intensive care unit, there are 5 major incidents, 22 moderate injuries, and 68 minor injuries (Table 6) [48, 40, 50, 52].

Table 6

**Incident severity distribution in intensive care units**

Author	Total	Incident Category NCC MERP55									
		CD (without consequences)		E (minimum harm)		F (moderate harm)		GH (heavy harm)		I (death)	
		Absolute number	%	Absolute number	%	Absolute number	%	Absolute number	%	Absolute number	%
<i>A. Pagnamenta et al., 2012</i> [48]	1727 (patients)	265	15.43	1155	66.88	302	17.47	5	0.29	—	—
<i>P. Merino et al., 2012</i> [49]	1424 (incidents)	1058	74.30	185	12.99	120	8.43	52	3.65	9	0.63
<i>D.A. Garry et al., 2014</i> [50]	104 (incidents)	8	7.69	31	29.80	32	30.76	22	21.15	11	10.57
<i>F.J. Molina, 2018</i> [52]	178	88	49.43	68	38.20	2	1.12	19	10.67	1	0.56
Cumulative incidence	3433	1419	<b>41.33</b> (39.69–42.99)*	1439	<b>41.92</b> (40.28–43.58)*	456	<b>13.28</b> (12.19–14.46)*	98	<b>2.85</b> (2.34–3.46)*	21	0.61 (0.40–0.93)*
Incident ratio		<b>67</b>		<b>68</b>		<b>22</b>		<b>5</b>		<b>1</b>	

Note:\* — 95% confidence interval

Figures given in Table 6 demonstrate two important facts. First, the accumulation of minor incidents and incidents without consequences will inevitably lead to the occurrence of cases of severe iatrogenic injury and critical incidents (transition of quantitative changes into qualitative ones). Second, reported critical incidents and major injuries must necessarily correspond to higher rates of new non-consequential and minor incidents. The latter fact is a powerful tool for checking the validity of an organization's existing incident reporting system. The vast majority of incidents in intensive care units (62.63%) are related to drug therapy and interventions, 20.12% - to care procedures, 11.12% - to the treatment plan and 6.13% - to diagnostic procedures (Table 7) [47,49,50,56].

Table 7

**Medical interventions associated with incidents in intensive care units**

Intervention/ treatment steps	<i>A. Forster et al., 2008</i> [47]		<i>P. Merino et al., 2012</i> [49]		<i>D.A. Garry et al., 2014</i> [50]		<i>C. Chapuis et al., 2019</i> [56]		Cumulative incidence	
	Absolute number	Share %	Absolute number	Share %	Absolute number	Share %	Absolute number	Share %	Absolute number	Share %
Diagnostic procedures	1	1.79	118	8.29	4	3.85	7	0.87	130	<b>6.13</b> (5.19–7.23)*
Drug therapy	12	21.44	355	24.93	18	17.31	526	65.10	641	<b>30.21</b> (28.29–32.20)*
Surgeries, manipulations and invasive procedures	24	42.85	558	39.18	20	19.23	86	10.64	688	<b>32.42</b> (30.46–34.44)*
Other interventions (therapeutic procedures related to patient care)	13	23.21	323	22.69	23	22.11	68	8.41	427	<b>20.12</b> (18.47–21.88)*
Treatment planning	6	10.71	70	4.91	39	37.50	121	14.97	236	<b>11.12</b> (9.85–12.53)*
TOTAL	56	100.00	1424	100.00	104	100.00	808	100.00	2122	—

Note:\* — 95% confidence interval

Anesthesia during surgeries, minimally invasive interventions and manipulations contain a large number of factors of aggressive impact on the patient's body. This is due to the fact that during surgery in a rather limited



space there is a purposeful use of mechanical ventilation and muscle relaxants, anesthesia, a large number of drugs with a narrow therapeutic range, many invasive devices (lines for infusion, monitoring, etc.), catheters and drains, equipment for carrying out extracorporeal perfusion of organs, controlled hypothermia and many other interventions. The danger of critical deviations associated with the described exposure requires permanent monitoring of the most important hemodynamic parameters and respiratory function, reliable laboratory monitoring of the state of blood and metabolism, timely and effective correction of diagnosed disorders, support of the vital organ functions and prevention of possible complications, adequate pain relief throughout the surgical stage and in the early postoperative period. Extremely limited communication with the patient during most of the anesthesia care significantly complicates the described monitoring [6,57,58,59].

Important conditions for effective and safe anesthesia care are the competence of the anesthesia team, effective communication with the surgical team and other services, and free orientation in the space of the technological interface. There are six stages in the work of an anesthesiologist, and at each stage errors and the development of severe complications are possible: preoperative examination of the patient and premedication, anesthetic induction, maintenance of anesthesia, recovery from anesthesia, transportation to the postoperative ward, maintenance in the ward of postoperative stay. It is necessary to pay special attention to the stage of transportation which should begin only after confirmation of the full readiness of the resuscitation bed and all medical and diagnostic equipment for receiving the patient. And the transportation itself requires adequate cardiac and respiratory activity of the patient, monitoring of central hemodynamics. This is virtually impossible without an invasive blood pressure monitor, transport stations for infusion and transport devices for mechanical ventilation. Unfortunately, data on incidents that occur during the transportation stage are practically not presented in modern literature [6,57,58,59].

It is noteworthy that in the provision of anesthesia care, the proportion of incidents related to equipment is higher than in other areas of medical care. The reasons for these incidents are failures of the equipment itself, lack of preparation and testing of equipment before starting work, poor performance as a result of human-machine interface anomalies, insufficient technical competence of personnel, emergency situations associated with the delivery of medical gases [6,57,58,59,60]. However, the main mistakes and incidents in the provision of anesthesia care are related to the human factor in terms of anesthesia planning and management in the early post-anesthesia period, therapeutic interventions, condition monitoring and nursing care. In this respect, maintaining adequate central hemodynamics, tissue perfusion and pulmonary ventilation, timely and adequate compensation for losses and disorders associated with surgical aggression (blood loss, loss of fluids and electrolytes, external cooling, carbohydrate and electrolyte metabolism disorders, etc.), managing the state of invasive devices and catheters are of particular importance. The majority of human errors and incidents are caused by poor communication and coordination of activities, and drowsiness associated with the necessity to work at night. All the above is exacerbated by exposure to organizational vulnerabilities and distractions [6,57,61,62,63]. A study showed that, on average, one team of surgeons and anesthesiologists during surgery is affected by 111 distractions, of which 33% cause diversion from current activities. In 46% of cases, distractions were associated with excessive communication of the members of the team itself [64]. Quite dangerous in the process of providing anesthesia care is the change of the anesthesia team. Thus, during cardiac surgery, the transfer of anesthesia to another anesthesia team increased perioperative lethality by 43% [65].

The contribution to perioperative lethality from anesthesia-related incidents is not easy to determine. Only a few publications specify the role of anesthetic problems that have become primary or secondary causes of death. While the proportion of deaths associated exclusively with anesthesia is usually in the range of 3-12% and does not exceed 1 case per 100-150 thousand patients. Despite the fact that over the past half century, significant progress has been made in reducing perioperative lethality (by 9 times) and mortality (by 10.5 times) associated exclusively with anesthesia, most of this was achieved through improved medical technologies and quality of medical devices. At the same time, the role of the human factor and organizational vulnerabilities continue to be a significant area for improvement [66,67,68,70].

Information about errors and incidents associated with anesthesia (assuming a significant contribution of anesthesia to their origin) is given in Table 8. The number of incidents per 100 patients and the prevalence of patients with incidents are almost 2 times higher in children than in adults, while mortality and lethality from incidents in children is more than 10 times lower than in adults. In absolute figures, the mortality rate, where a significant contribution was due to anesthesia care, was equal to 1 case per 2500 anesthesia in adults and 1 case per 8000 anesthesia in children [69,71-76].

Table 8

**Anesthesia-related incidents**

Author	Number of patients/ anesthesia	Incidents			Incidents per 100 patients/ 100 anesthetics %	Prevalence of patients with incidents %	Incident deaths	
		Absolute number	Deaths	Patients			Mortality %	Lethality %
<i>S. Wan et al.</i> , 2013 [69]	75 331	2519 <sup>a</sup>	no data	no data	3,34 <sup>a</sup>	—	—	—
<i>K.E. Munting et al.</i> , 2015 [71]	65 985/110 310	3904	45	no data	5,91/3,54	—	0,068	—
<i>T. Saito et al.</i> , 2015 [72]	44 915	379	8	379	0.84	0.84	0.018	2.11
<i>A.K. Lipshutz et al.</i> , 2015 [73]	63 818	1811 <sup>b</sup>	no data	no data	2.83 <sup>b</sup>	—	—	—
<i>W. Habre et al.</i> , 2017 [74]	30 874/31 127	1637 <sup>c</sup>	4 <sup>c</sup>	1478 <sup>c</sup>	5.30/5.26 <sup>c</sup>	4.78 <sup>c</sup>	0.013	0.27
<i>P. Agbamu et al.</i> , 2017 [75]	1188	73	5	42	6.14	2.22	0.4	11.9
<i>G.D. Williams et al.</i> , 2017 [76]	72 384	2689 <sup>a</sup>	3	1980	3.71	2.73	0.0055*	no data
Cumulative incidence - adults					<b>3,51</b> (3,45–3,57) (11 375/323 621)	<b>2,03</b> (1,95–2,11) (2401/118 487)	<b>0,04</b> (0,03–0,05) (61/166 557)	<b>3,09</b> (1,73–5,36) (13/421)
Cumulative incidence - children					<b>5,03</b> (5,06–5,56)** (1637/30 874)	<b>4,79</b> (4,56–5,03)** (1478/30 874)	<b>0,013</b> (0,011–0,017)**	<b>0,27</b> (0,09–0,74)**

Note: a — provision of anesthesia for children; b — incidents without consequences; c — only large and critical pediatric incidents; \* — mortality rate was determined based on 54 469 death cases; \*\* — 95% confidence interval

Most anesthetic incidents are the result of patient-related problems (29.3%) and miscalculations in staff decision-making (23.2%). This was followed by mistakes in the actions of the staff (14.8%), errors associated with impaired coordination in the surgical team performance (14.5%), inadequate preoperative examination (6.9%), the need to switch between tasks (6.1%) , problems in communication (4.8%), lack of competencies (4.5%), haste and time pressure (4.5%), errors and violations in equipment testing (3.2%). The vast majority of miscalculations (81.5%) are caused by the loss of awareness of a rapidly changing situation as a result of misperception, as well as information-processing failures [62,72,75].

The main number of anesthetic incidents occurs at the stages of induction and maintenance of anesthesia (Table 9) [72,74,75].

Table 9

**Distribution of incidents depending on the stage of anesthesia care**

Author	Incidents (Absolute number)	Premedication		Induction		Maintenance of anesthesia		Recovery from anesthesia		Management in the post-anesthesia department	
		Absolute number	%	Absolute number	%	Absolute number	%	Absolute number	%	Absolute number	%
<i>T. Saito et al., 2015 [72]</i>	379	9	2,37	149	39,31	133	35,09	56	14,77	32	8,44
<i>W. Habre et al., 2017 [74]</i>	1 637*	—	—	403	24,61	627	38,30	509	31,09	98	5,98
<i>P. Agbamu et al., 2017 [75]</i>	73	—	—	40	54,79	24	32,88	5	6,85	4	5,48

Note: \* — large and critical incidents

Almost half of the incidents, both in children and adults, have no consequences for the patient. The share of major and critical incidents in children is significantly lower than in adults, which explains the lower mortality and lethality rates given above in children, despite the higher incidence characteristics (Table 10). For one critical adult incident, there are 8 major incidents, 3 moderate incidents, and 11 minor incidents. In children, the distribution is biased towards minor incidents: 3, 17, and 27, respectively [71,72].

Table 10

**Severity distribution of anesthesia-related incidents**

Author	Total	Incident Category NCC MERP55									
		CD (without consequences)		E (minimum harm)		F (moderate harm)		GH (heavy harm)		I (death)	
		Absolute number	%	Absolute number	%	Absolute number.	%	Absolute number	%	Absolute number	%
<i>K.E. Munting et al., 2015 [71]</i>	3 904 (children)	1750	44.82	1231	31.53	751	19.23	127	3.25	45	1.15
	Ratio for children	39		27		17		3		1	
<i>T. Saito et al., 2015 [72]</i>	379 (adults)	198	52.24	87	22.95	22	5.80	64	16.89	8	2.11
	Ratio for adults	25		11		3		8		1	

Among anesthetic incidents with consequences, respiratory problems dominate, followed by cardiovascular disorders. The third place is occupied by incidents related to the actions of anesthesiologists (Table 11) [69,71,72,75].

It should be noted that complications of drug therapy presented in Table 11 concern the drug technology itself (drug reactions and allergies). The reason for the aggressive action of most drugs with a narrow therapeutic range is unsafe actions associated with the human factor. Most of these complications are included in the groups of respiratory and cardiovascular complications, as well as related hypoxic damage to the central nervous system. Anesthesiology is the only medical specialty where the prescription, dilution and administration of drugs take place without the consent of any other specialist. Along with a large number of drugs used, this creates favorable conditions for potential errors [77]. Errors associated with the use of drugs during anesthesia are quite often a violation of one or more principles of safe drug therapy: "right patient", "right drug", "right dose", "right time" and "correct route of administration" [78, 79]. In this case, the first place is occupied by errors associated with the introduction of the wrong drug (due to problems with drug identification and labeling). The second place belongs to errors associated with an insufficient dose or overdose of the drug, the third - errors associated with skipping the administration of the drug (omission), the fourth - errors associated with the wrong route of drug administration [75,77,79,80].

Table 11

**The main types of incidents in the provision of anesthesia care**

Problem type	<i>S. Wan et al., 2013 [69]*</i>		<i>T. Saito et al., 2015 [72]</i>		<i>K.E. Munting et al., 2015 [71]*</i>		<i>P. Agbamu et al., 2017 [75]</i>	
	Absolute number	Share %	Absolute number	Share %	Absolute number	Share %	Absolute number	Share %
Respiratory problems	1757	69.74	211	55.67	851	21.80	22	30.14
Complications of drug therapy	100	3.97	55	14.51	323	8.27	9	12.32
Cardiovascular problems	238	9.45	54	14.25	1164	29.81	25	34.25
Incidents related to anesthetic procedures, insertion and positioning of medical devices	161	6.39	8	2.11	820	21.00	12	16.44
Equipment related problems	183	7.26	25	6.60	329	8.42	5	6.85
Other problems	80	3.17	26	6.87	417	10.68	—	—
TOTAL	2519	100.00	379	100.00	3904	100.00	73	100.00

Note: \* — pediatric anesthesia

**DISCUSSION**

The conducted analysis of the working conditions of various departments involved in the provision of emergency medical care (prehospital care emergency medical services, emergency departments, intensive care units and anesthesiology services) showed the presence of common initial variables: environmental complexity, suboptimal workspace configuration, complexity of the technological interface and the impact of acute stress on performers [6,8,13,22,23,38,42]. The most significant variable is the complexity of the emergency care environment. This environment has been called the high-stakes environment in the literature. A universal approach to the description of a complex environment involves its assessment in terms of the complexity of the tasks being solved and the obstacles to solving problems. Table 12 summarizes the key characteristics of the complex environment for all four areas of emergency care. The most common one is the critical impact of time pressure which leads to a significant decrease in the quality of perception and information processing by reducing the time to test hypotheses. In addition, the lack of time significantly narrows the space of opportunities for critical assessment of the decisions made, the application, along with the treatment, of additional and duplicating functions necessary to prevent errors and incidents. The influence of other elements of the complex environment in different departments is not equivalent, which leads to significant differences in the frequency and severity of incidents, as well as in the quality of accounting for the events themselves. This is largely due to the duration of contact with the patient (meaning the time the patient stays in the area of focus of a certain service), the number of patients who receive medical care in one time interval, the number of simultaneously used technologies, examinations and treatment in the previous period.

Table 12

**Characteristics of environmental complexity in various areas of emergency care**

Characteristics of the environment	Severity factor			
	Prehospital care emergency medical services	Emergency Departments	Intensive Care and Resuscitation Units	Anesthesiology Services
<b>Environmental complexity in terms of the complexity of the tasks being solved</b>				
Patient uncertainty	++++	+++	++	+
Patient related instability	+	+++	++++	++
Multitasking	+	+++	++++	++
Monotony	++	+	+++	++++
Heavy technological load	+	++	+++	++++
Task interdependence	+	++	++++	+++
High velocity of a decision's consequences	+++	+++	+++	++++
Irreversibility of a decision's consequences	+	++	++++	++++
Multiple consequences of a decision	+	++	+++	++++
High price of a wrong decision	+	++	+++	++++
Delayed effect of technology	++++	+++	+	++
<b>Environmental complexity in terms of obstacles to solving problems</b>				
Multiple goals	++	++	++++	+++
Competing goals	++	++	++++	+++
Goals changing during treatment	+	+++	++++	++
Instability related to environment variables	+++	++++	+	++
Uncertainty related to environment variables	++++	+++	++	+
Environmental opacity (ambiguity of the information received)	+	++	++++	+++
Frequent breaks	+	++++	+++	++
Migrating stress	+	+++	++++	++
Novelty of the situation	++++	+++	++	+
Lack of time to make decisions	++++	++++	++++	++++
Information overload	+	++	++++	+++
Interactive hypercomplexity	+	+++	++	++++

Notes:

+ the factor is present;

++ the factor has a moderate influence on decision-making;

+++the factor has a strong influence on decision-making;

++++ the factor has a very strong influence on decision-making, affecting all previous stages of human behavior.

Research related to the epidemiology of incidents with consequences has shown unequal prevalence of patients with incidents in different areas of emergency medical care. The maximum rates of frequency and severity are observed in intensive care and resuscitation units, the minimum - in anesthesiology and prehospital care emergency medical services. Intermediate values are typical for emergency departments of multidisciplinary hospitals. Unfortunately, the extremely short time of contact with the patient creates great obstacles for identifying the causes and sources of incidents related to ambulance service performance. The initial severity of the disease and the predominant impact of surgical trauma on the patient's body, in addition

to emerging surgical problems, skillfully mask incidents associated exclusively with the anesthetic management itself. That is why the real statistics of errors, frequency and severity of incidents for anesthesiology and prehospital emergency medical services require special attention as well as the development of more reliable methods of identification and verification criteria, including the methodology of global instrumental triggers for the vast majority of clinical cases [11, 14, 25, 45, 81].

From the point of view of the system security model, root causes associated with various sources (the human factor, technology, patient, organization and non-organizational factors) are the basis of errors and incidents. And unsafe actions of people (mostly highly motivated and competent ones) are the result of hazards and vulnerabilities associated with the root causes. Moreover, most incidents arise as a result of the confluence in time and space of many active threats and vulnerabilities [6,57,81-84]. That is why, in order to prevent deviant events in emergency medicine, systemic solutions are required that take into account the influence of the root causes at various levels. These system solutions are usually redundant in relation to the process of providing medical care and require additional time and resources.

## CONCLUSION

The analysis of publications on errors and incidents in the provision of emergency medical care revealed an extreme heterogeneity of descriptive statistical indicators in all target groups of departments: from the minimum values (in anesthesiology services) to the peak values in intensive care and resuscitation departments. Nevertheless, for all areas of emergency care, factors have been noted that, along with the threats present in other types of departments and services, determine both the special nature of the complexity of the tasks being solved, and the presence of serious obstacles along the way. This type of environment is called a high-stakes environment, where the cost of error and any deviation is high enough in terms of severe complications and unforeseen death. The special nature of the activities and the catastrophic lack of time for decision-making significantly limit the possibility of obtaining up-to-date information about errors and incidents, as well as the application of well-known and proven effective measures to manage the risks of additional harm in parallel with the treatment process.

## FINDING

Emergency medical care is fraught with many threats that cause a high prior probability distribution of errors and incidents. Registration of deviant events in emergency medical units is complicated by the limited time of contact with the patient, many influencing variables, including various technologies and the influence of other teams. The extremely high concentration and interdependence of many root causes, the resulting hazards and vulnerabilities in the high-stakes environment requires a special approach to managing the risks of additional harm.

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